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		Number of Pages, Including Cover:	12
Re:	U.S. Application No. 10/607,144		

Message:**CONFIRMATION OF RECEIPT REQUESTED****ENCLOSED:** Facsimile Cover Sheet (1 p.);Request for Reconsideration of Petition Under 37 CFR §1.47(a) (1 p. - in dup.);
Petition and Fee for Two-Month Extension of Time Under 37 CFR 1.136(a) (1 p. - in dup.);
Combined Declaration and Power of Attorney (4 pp.); and
Copy of Decision Refusing Status Under 37 CFR §1.47(a) (3 pp.)

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CII99 4351273-1.037355.0131

PAGE 1/12 * RCVD AT 8/25/2004 4:45:55 PM [Eastern Daylight Time] * SVR:USPTO-EFXXRF-1/6 * DNIS:8729306 * CSID:312 984 7700 * DURATION (mm-ss):04-10



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OFFICE OF PETITIONS

In re Application of
William Gray, Gerald Smith,
Carl Larkin, Lee Peart,
Peter Saunders, Stuart Fiske and
Darren Morford
Application No. 10/607,144
Filed: June 26, 2003
Attorney Docket No. 37355-131
Title: SYSTEM AND METHOD FOR
CONDUCTING SECURE ELECTRONIC
TRANSACTIONS

DECISION REFUSING STATUS
UNDER 37 CFR § 1.47(a)

DOCKETED
07/04/2004

This is a decision on the "Petition to Accept National Application Without Participation of An Inventor," filed March 19, 2004, pursuant to 37 CFR § 1.47(a) (certificate of mailing, March 16, 2004).

The petition is **DISMISSED**.

Rule 47 applicant is given **TWO MONTHS** from the mailing date of this decision to reply, correcting the below-noted deficiencies. Any reply should be entitled "Request for Reconsideration of Petition Under 37 CFR 1.47(a)," and should only address the deficiencies noted below, except that the reply may include an oath or declaration executed by the non-signing inventor. **Failure to respond will result in abandonment of the application.** Any extensions of time will be governed by 37 CFR 1.136(a).

The above-identified application was filed on June 3, 2002, without an executed oath or declaration. William Gray, Gerald Smith, Carl Larkin, Lee Peart, Peter Saunders, Stuart Fiske and Darren Morford were named as joint inventors. Accordingly, on September 16, 2003, applicants were mailed a "Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted (Notice)," requiring an executed oath or declaration and a surcharge for its late filing. This Notice set a two-month period for reply with extensions of time obtainable under § 1.136(a).

In response, applicants filed three declarations executed, in combination, by joint inventors Gray, Smith, Larkin, Peart, Saunders and Fiske on behalf of themselves and on behalf of non-signing joint inventor Morford; the surcharge under § 1.16(1);

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and the instant petition under § 1.47(a) (and fee). This response was made timely by an accompanying petition for a four-month extension of time for response (and fee). Applicants assert that status under § 1.47(a) is proper because inventor Morford cannot be found or reached, after diligent effort, to join in the application.

A grantable petition under 37 CFR 1.47(a) requires: (1) proof that the non-signing inventor cannot be reached or found, after diligent effort, or refuses to sign the oath or declaration after having been presented with the application papers (specification, claims and drawings); (2) an acceptable oath or declaration in compliance with 35 U.S.C. §§ 115 and 116; (3) the petition fee; and (4) a statement of the last known address of the non-signing inventor.

By declaration of Senior Legal Manager Patrice Jacobson and supporting documentary evidence, petitioner has shown that inventor Morford cannot be found or reached after diligent effort to join in the application. The petition includes payment of the petition fee.

However, the petition is not grantable because it does not satisfy requirements (2) and (4) above. The declaration submitted is not acceptable. It is not in compliance with 35 U.S.C. 115 and implementing regulations 37 CFR 1.63(a)(3) and (c)(1). The declaration does not include the citizenship, mailing address, and residence of inventor Morford. The mailing address and residence may be provided by way of a supplemental application data sheet, pursuant to 37 CFR 1.76(c)(1). However, the requirement that the declaration include the citizenship of inventor Morford is statutory; it is required pursuant to 35 U.S.C. 115. Accordingly, the requirement for inventor Morford's citizenship on the declaration may not be waived.

Furthermore, the petition does not include a statement of inventor Morford's last known address.

On renewed petition, petitioner must submit an acceptable executed declaration and provide inventor Morford's last known address.

Further correspondence with respect to this matter should be addressed as follows:

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Telephone inquiries related to this decision may be directed to the undersigned at (703) 305-0309.



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